## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Roderick A. Hyde

Application No. : To Be Assigned

Filed : March 16, 2004

For : REFRACTIVE BOUNDARY ELEMENTS, DEVICES, AND

**MATERIALS** 

Examiner : To Be Assigned

Art Unit : To Be Assigned

Docket No. : 1203-014-009-000000

Date : March 16, 2004

U.S. Patent & Trademark Office 2011 South Clark Place Customer Window, Mail Stop Patent Application Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(d), Applicant brings to the attention of the Examiner the documents listed on the attached PTO-1449 (substitute form). Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form. Pursuant to 37 CFR 1.98(a)(2), copies of the foreign patent documents and non-patent literature are attached.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Respectfully submitted,

Roderick A/Hyde

Clarence 7. Tegreene Registration No. 37,951

CTT:pl

Enclosures:

Check for \$972

Postcard

Certificate of Mailing by Express Mail

New Utility Patent Application Transmittal and Fee Transmittal (+ copy)

Specification (26 pages)

13 Sheets of Drawings (Figs. 1-11)

Declaration and Power of Attorney

Assignment Recordation Cover Sheet & Assignment

Form PTO-1449 (substitute) and copies of 6 cited references

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number	To Be Assigned
	Filing Date	March 16, 2004
	First Named Inventor	Roderick A. Hyde
	Group Art Unit	To Be Assigned
	Examiner Name	To Be Assigned
Page 1 of 1	Attorney Docket Number	1203-014-009-000000

	U.S. PATENT DOCUMENTS				
Examiner Initials	U.S. Patent Number	Name of Patentee	Date of Publication (MM-DD-YYYY)		
	6,603,113 B2	Takahiro Numai	08-05-2003		
	6,411,752 B1	Little, et al.	06-25-2002		
	6,052,495	Little, et al.	04-18-2000		

FOREIGN PATENT DOCUMENTS					
Examiner Initials	Document Number	Country	Date of Publication (MM-DD-YYYY)	English Translation Provided?	
	WO 0048026A1	Japan	08-17-2000	Abstract only	

	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the magazine, journal, serial, symposium, catalog, etc.), date. page(s), volume-issue number(s), published.		
	HOUCK, BROCK, and CHUANG, "Experimental Observations of a Left-Handed Material That Obeys Snell's Law," Physical Review Letters, No. 13, April 4, 2003, Doc No. 137401 (1-4)	
	LIU, SHAKOURI, and BOWERS, "Wide Tunable Double Ring Resonator Coupled Lasers," IEEE Photonics Technology Letters, Vol. 14, No. 5, May 2002, pp. 600-602.	
	PENDRY, J.B., "Negative Refraction Makes a Perfect Lens," Physical Review Letters, No. 18, October 30, 2000, pp. 3966-3969	
	SHELBY, SMITH, and SCHULTZ, "Experimental Verification of a Negative Index of Refraction," Science, Vol. 292, April 6, 2001, pp. 77-79	
	ZHANG, FLUEGEL and MASCARENHAS, "Total Negative Refraction in Real Crystals for Ballistic Electrons and Light," Physical Review Letters, No. 15, October 10, 2003, Doc. No. 157404 (1-4)	

Examiner	Date	
Signature	 Considered	
OMD 0651 0031		